

## Dialogue Relation Extraction

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- Goal is to find the *semantic relation type* between entities in text such as professionally written and edited news reports.

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⇒ Relations could add **additional features and information** for dialogue system tasks, e.g. for personalisation

⇒ Essential step in **building ontologies** from large-scale corpora automatically (Ji et al. 2010; Yu et al. 2020)

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- Not made for an external reader
- Important to understand relations in dialogues in **real-time** with incoming utterances  
⇒ Huge differences to relation extraction in other formal textual data!

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- Given:
  - Dialogue context  $\mathcal{D}$  composed of utterances  $\mathcal{D} = \{u_1 : s_1, \dots, u_m : s_m\}$  where  $u_i, s_i$  denote the speaker ID and  $m$  is the dialogue length
  - Query pair  $q$  containing a subject entity and an object entity  $q = (s, o)$  present in  $\mathcal{D}$
- Goal:
  - Learn function  $f$  that finds the most possible relations between the given entities from a predefined relation set  $\mathcal{R}$ ,  $f(\mathcal{D}, q) \rightarrow \mathcal{R}$ .  
→ Result is a *(subject, relation type, object)* triple  $(s, r, o)$

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- Closely connected to other dialogue tasks: **Emotion recognition** can be seen as a relation extraction task (Lee and Choi 2021)  
→ the speaker of an utterance as subject, the utterance as object and the emotion as the relation between the arguments

# DRE Data-sets

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## **DialogRE** (Yu et al. 2020)

- First human-annotated dialogue-based relation extraction dataset
- 1,788 dialogues originating from the complete transcripts of the famous American television situation comedy “Friends”.
- 36 possible relation types that exist between an argument pair in a dialogue.
- 10,168 relational triples are annotated
- 65.9% of relational triples involve arguments that never appear in the same turn
- **Triggers** are labelled here: useful hints for deciding on relations

# DialogRE Relations

ID	Subject	Relation Type	Object	Inverse Relation	TR (%)
1	PER	per:positive_impression	NAME		70.4
2	PER	per:negative_impression	NAME		60.9
3	PER	per:acquaintance	NAME	per:acquaintance	22.2
4	PER	per:alumni	NAME	per:alumni	72.5
5	PER	per:boss	NAME	per:subordinate	58.1
6	PER	per:subordinate	NAME	per:boss	58.1
7	PER	per:client	NAME		50.0
8	PER	per:dates	NAME	per:dates	72.5
9	PER	per:friends	NAME	per:friends	94.7
10	PER	per:girl/boyfriend	NAME	per:girl/boyfriend	86.1
11	PER	per:neighbor	NAME	per:neighbor	71.2
12	PER	per:roommate	NAME	per:roommate	89.9
13	PER	per:children*	NAME	per:parents	85.4

Excerpt from the relations in DialogRE (Yu et al. 2020). TR denotes the percentage of relation triples of this type accompanied by an annotated trigger.

# DialogRE Example

**S2:** He didn't have a last name. It was just "Tag". You know, like Cher, or, you know, Moses.

**S3:** But it was a deep meaningful relationship.

**S2:** Oh, you know what - my first impression of you was absolutely right. You are arrogant, you are pompous ...

Arguments	Trigger	Relation
(Tag, S2)	a deep meaningful relationship	per:girl/boyfriend
(S2, S3)	arrogant	per:negative_impression

# DialogRE Triggers

- A **trigger** is "the smallest extent (i.e., span) of contiguous text in the dialogue that most clearly indicates the existence of the relation between two arguments".
- If there are multiple possible triggers, only one is kept for a relation triple.
- 49.6% of all relational triples are annotated with triggers

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**S1:** Hey Pheeb.

**S2:** Hey!

**S1:** Any sign of your **brother**?

**S2:** No, but he's always late.

**S1:** I thought you only met him once?

**S2:** Yeah, I did. I think it sounds y'know big sistery, y'know, 'Frank's always late.'

**S1:** Well relax, he'll be here.

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	<b>Argument pair</b>	<b>Trigger</b>	<b>Relation type</b>
<b>R1</b>	(Frank, S2)	brother	per:siblings
<b>R2</b>	(S2, Frank)	brother	per:siblings
<b>R3</b>	(S2, Pheeb)	none	per:alternate_names
<b>R4</b>	(S1, Pheeb)	none	unanswerable

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# DialogRE Evaluation

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- **Standard setting:**
  - Entire dialogue  $\mathcal{D}$  as document  $d$
  - Predict relation  $r$  based on  $d, s, o$  as input
  - F1-score as evaluation metric

# DialogRE Evaluation

- **Standard setting:**

- Entire dialogue  $\mathcal{D}$  as document  $d$
- Predict relation  $r$  based on  $d, s, o$  as input
- F1-score as evaluation metric

- **Conversational setting:**

- Only the first  $i \leq m$  turns are considered as document  $d$
- given the first  $i$  turns in a dialogue, relation type  $r$  associated with  $s$  and  $o$  is **evaluable** if  $s, o$  and the trigger for  $r$  have all been mentioned in the turns so far.
- The conversational F1-score is denoted by  $F1_c$  based on the conversational recall and precision,  $R_c$  and  $P_c$

## DRE Data-sets

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### **DDReI** (Jia et al. 2021)

- Data-set for interpersonal relation classification in dyadic dialogues (i.e. between two persons/speakers)
  - ⇒ Based on one or more dialogues predict the relation between the speakers
- Crawled movie scripts from IMSDb
- 6,300 dyadic dialogue sessions between 694 pairs of speakers with 53,126 utterances in total based on movie scripts.
- Up to 13 pre-defined relationships based on "Encyclopedia of Human Relationships" (Harry Reis 2009).
- Provide dialogue systems with features for personalisation

# Interpersonal Relation Classification

- Input: one or several dialogues between two speakers called session and pair-level task
  - **Session-level:** Given the  $j$ -th dialogue between the  $i$ -th pair of speakers  $D_j^i$  infer the most probable relation between them:  $R_j^i = \underset{R}{\operatorname{argmax}} f_s(D_j^i)$
  - **Pair-level:** Given all dialogues between the  $i$ -th pair of speakers  $D^i = (D_1, \dots, D_n)$  infer the most probable relation between them:  $R^i = \underset{R}{\operatorname{argmax}} f_s(D^i)$

# DDRel Relation Classes

	<b>4 classes</b>	<b>6 classes</b>	<b>13 classes</b>
Family		Elder-Junior	Child-Parent Child-Other Family Elder
		Family Peer	Siblings Spouse
Intimacy	Intimacy		Lovers Courtship
Others	Peer		Friends Neighbors Roommates
		Elder-Junior	Workplace Superior-Subordinate Colleague/Partners
	Official	Official Peer	Opponents Professional Contact

More and less fine-grained relation classes in DDRel data-set (Jia et al. 2021).

# DialogRE vs. DDRel relations

<b>DDRel Relation</b>	<b>DialogRE Relation</b>
Workplace Superior-Subordinate	per:boss
Workplace Superior-Subordinate	per:subordinate
Friends	per:friends
Lovers	per:girl/boyfriend
Neighbors	per:neighbor
Roommates	per:roommate
Child-Parent	per:children
Child-Other Family Elder	per:other family
Siblings	per:siblings
Spouse	per:spouse
Colleague/Partners	per:works
Courtship	-
Opponents	-
Professional Contact	-

Overlap between DDRel and DialogRE relations (Lin et al. 2022)

# DDRel Example

<p>Session 1</p> <p>A Well, hi!</p> <p>B Uh, we just wanted to stop by and say that we really <b>enjoyed your sets</b>.</p> <p>A Oh, yeah, really, oh!</p> <p>B I thought it was ... very musical, and I liked it a lot.</p> <p>A Oh, neat ... oh, that's very nice, gosh, thanks a lot.</p> <p>B Are you ... are you recording? Or do-</p> <p>A No, no, no, not at all.</p> <p>B Uh, well, I'd like to talk to you about that sometime, if you get a chance.</p> <p>A Oh. What about?</p> <p>.....</p>	<p>Session 2</p> <p>B Oh, well, I-if it's inconvenient, eh, we can't do it now ... that's fine, too. W-w- we'll do it another time.</p> <p>A Hey</p> <p>B Maybe if you're on the Coast, we'll get together and ... and we'll meet there.</p> <p>A Oh.</p> <p>B It was a wonderful set.</p> <p>A Oh, gosh.</p> <p>B I really enjoyed it.</p>
<p>Session 3</p> <p>B We just need about six weeks, in about six weeks we could <b>cut a whole album</b>.</p> <p>A I don't know, this is strange to me, you know.</p> <p>.....</p>	<p>Session 4</p> <p>B Boy, this is really a nice <b>screening room</b>. It's really a nice room.</p> <p>A Oh, and there's another thing about New York. See ... you-you wanna see a movie, you have to stand in a long line.</p> <p>A Yeah.</p> <p>B It could be freezing, it could be raining.</p> <p>A Yeah.</p> <p>B And here, you just</p>

Dialogue sessions with label "Professional Contact". Possible classification cues highlighted in red.

# DDRel Baseline Results

		4-class		6-class		13-class	
		Acc	F1-macro	Acc	F1-macro	Acc	F1-macro
<b>Session-level</b>	Random	23.0±3.56	22.67±3.71	17.33±2.62	15.80±3.00	8.33±2.62	6.63±2.12
	Majority	31.00±0.00	11.80±0.00	31.00±0.00	7.90±0.00	26.00±0.00	3.20±0.00
	LSTM	29.80±1.28	22.87±1.24	30.83±1.16	11.10±0.08	28.50±1.44	4.63±0.45
	CNN	42.67±2.93	33.27±6.63	37.80±1.31	31.40±6.67	32.33±2.46	9.20±4.97
	BERT	47.10±1.28	44.53±1.10	41.87±0.81	39.40±0.85	39.40±0.36	20.40±0.67
	Human	56.00±6.00	55.20±6.30	50.00±9.00	53.00±8.10	38.50±5.50	40.75±8.15
<b>Pair-level</b>	Random	28.20±9.30	26.90±9.24	17.93±7.89	16.2±7.54	6.43±2.76	5.73±2.64
	Majority	23.10±0.00	9.40±0.00	23.10±0.00	6.20±0.00	19.20±0.00	2.50±0.00
	LSTM	25.63±2.76	13.13±5.06	22.67±0.61	6.40±0.29	19.20±0.00	2.57±0.05
	CNN	47.47±2.76	35.03±5.80	38.47±4.21	30.40±9.06	22.20±6.08	7.07±6.04
	BERT	58.13±0.61	52.00±0.86	42.33±2.76	38.00±1.14	39.73±1.79	24.07±0.63
	Human	75.65±3.85	73.00±4.40	72.40±4.50	73.55±5.45	63.45±1.95	54.40±3.00

classification results on session-level and pair-level tasks with a gap to human performance



# DRE Approaches

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- Graph-based
  - SocAoG (Qiu et al. 2021)
- BERT-based
  - D-REX (Albalak et al. 2022)
  - TREND (Lin et al. 2022)

# hhu.

## SocAoG: Incremental Graph Parsing for Social Relation Inference in Dialogues

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- Model **social relation** as an attributed And-Or graph (Wu and Zhu 2011)  
⇒ Social And-Or graph (SocAoG) based on a dialogue
- Incremental graph parsing algorithm to jointly infer human attributes and social relations from a dialogue  
⇒ enable **dynamic updates** of the relational belief based on incoming dialogue utterance

- Apply *Markov Chain Monte Carlo* (MCMC) to sample from the posterior probability calculated by three complementary processes called  $\alpha$ ,  $\beta$  and  $\gamma$   
⇒ Incrementally parse SocAoG to get the final set of relations
- Learn the SocAoG model with a *contrastive loss* (Hadsell et al. 2006) comparing the posterior of a positive parse graph against a negative one according to [relation annotations](#)
- Infer social relations with dialogue turns as input

# SocAoG

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- $V = V_{and} \cup V_{or} \cup V_T^e \cup V_T^a$  are all nodes, where  $V_{and}$  the And-node set,  $V_{or}$  the Or-node set, while  $V_T^e$  and  $V_T^a$  represent human members and attribute values respectively

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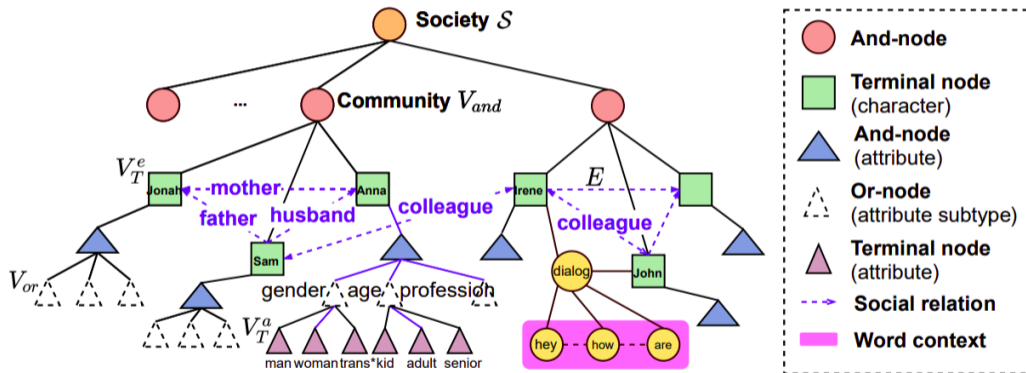
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- $P$  is the **probability model** defined on SocAoG  
 $\Rightarrow$  The parse graph  $pg$  for SocAoG is updated incrementally over turns to get the optimal parse graph  $pg^*$  to be:

$$pg^* = \underset{pg}{\operatorname{argmax}}(p(pg \mid D; \theta))$$

with dialogue  $D$  and inferred model parameters  $\theta$

# SocAoG Parse Graph



## $\alpha - \beta - \gamma$ for Graph Inference

- posterior probability for a parse graph  $pg$ :

$$p(pg | D; \theta) = \frac{1}{Z} \exp\{-\mathcal{E}(D | D; \theta) - \mathcal{E}(pg; \theta)\}$$

where  $Z$  is the partition function and  $\mathcal{E}(D | D; \theta)$  and  $\mathcal{E}(pg; \theta)$  are **dialogue- and social norm-based** energy potentials, measuring the cost of assigning graph instantiation

- For a dialogue as a sequence of words  $D = \{w_1, \dots, w_T\}$  the **dialogue likelihood** energy is given by:

$$\mathcal{E}(D | pg; \theta) = \sum_{t=1}^T -\log(p(w_t | c_t, pg))$$

where  $c_t = [w_1, \dots, w_{t-1}]$  is the context vector by a BERT model that gets the dialogue history and the current parse graph belief as input.

$p(w_t | c_t, pg)$  is the  **$\alpha$  process**

# $\alpha - \beta - \gamma$ for Graph Inference

- The **social norm-based** potential is composed of three potential terms:

$$\mathcal{E}(pg; \theta) = -\beta \sum_{v_i, v_j \in V(pg)} \log(p(\mathbf{e}_{ij} \mid \mathbf{v}_i, \mathbf{v}_j)) \quad (1)$$

$$-\gamma_l \sum_{\vec{e}_{ij} \in E(pg)} \log(p(\mathbf{v}_i \mid \mathbf{e}_{ij})) \quad (2)$$

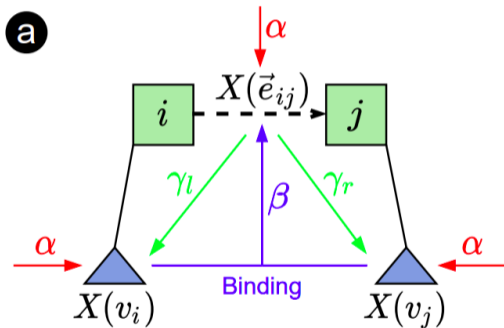
$$-\gamma_r \sum_{\vec{e}_{ij} \in E(pg)} \log(p(\mathbf{v}_j \mid \mathbf{e}_{ij})) \quad (3)$$

where

$p(\mathbf{e}_{ij} \mid \mathbf{v}_i, \mathbf{v}_j)$  is called the  **$\beta$  process** where the relation edge is updated based on the node attributes

$p(\mathbf{v}_i \mid \mathbf{e}_{ij})$  and  $p(\mathbf{v}_j \mid \mathbf{e}_{ij})$  the  **$\gamma$  process** in which the social relation edge is used to update the node attributes

# $\alpha - \beta - \gamma$ for Graph Inference



# SocAoG Inference

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## Algorithm 1: Incremental SocAoG Parsing for Social Relation Inference

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**Input:** dialogue  $D_T = \{D^{(1)}, D^{(2)}, \dots, D^{(T)}\}$ ,  
target argument pairs  $\{a_1, a_2\}$ .

**Initialize**  $pg^{(0)}$ . Initialize  $v_i$  and  $e_{ij}$ .

**for**  $t = 1, \dots, T$  **do**

**for**  $s = 1, \dots, S$  **do**

    Compute the posterior  $p(pg|D^{(t)}; \theta)$ .

    Make proposal moves with probabilities

$q_1, q_2$  to get a new parse graph  $pg'$ .

    Compute the posterior  $p(pg'|D^{(t)}; \theta)$ .

    Compute acceptance rate

$\alpha(pg'|pg, D^{(t)}; \theta)$ .

    Accept/reject  $pg'$  according to the  
    acceptance rate.

**end for**

**return**  $e_{a_1, a_2}$  from the average of accepted  
   $pg$  samples.

**end for**

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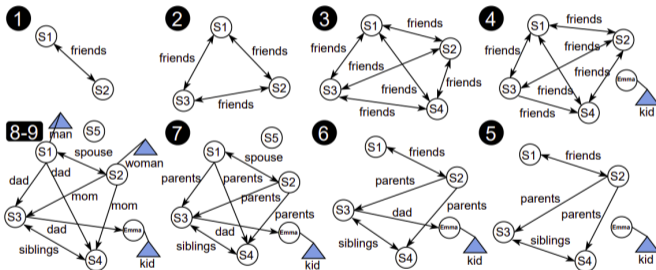
- Acceptance rate  $\alpha$  for updated parse graph  $pg'$ :

$$\alpha(pg' | pg, D; \theta) = \min\left(1, \frac{p(pg'|D; \theta)}{p(pg|D; \theta)}\right)$$

- $S = \min\{w \times (KM + K(K - 1)N, S_{max})\}$   
for  $K$  entities,  $M$  attributes,  $N$  relations,  
and a sweep number of  $w$

# SocAoG Inference Example

- |   |                |  |
|---|----------------|--|
| ① | <b>S1, S2:</b> | Hi!  |
| ② | <b>S3:</b>     | Hey!   |
| ③ | <b>S4:</b>     | So glad you came!  |
| ④ | <b>S1:</b>     | I can't believe Emma is already one!   |
| ⑤ | <b>S2:</b>     | I remember your first birthday!<br>Ross was jealous of all the attention we were giving you.<br>He pulled on his testicles so hard!<br>We had to take him to the emergency room! |
| ⑥ | <b>S3:</b>     | There's something you didn't know about your dad!  |
| ⑦ | <b>S5:</b>     | Hey Mr. and Mrs. Geller! Let me help you with that.  |
| ⑧ | <b>S1:</b>     | Thank you!   |
| ⑨ | <b>S5:</b>     | Oh man, this is great, uh? The three of us together again!<br>You know what would be fun?<br>If we gave this present to Emma from all of us!                                     |

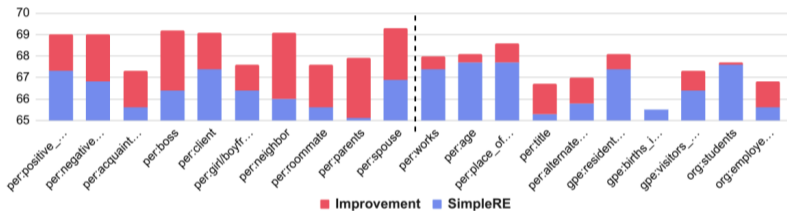




# SocAoG Results on DialogRE

Methods	DialogRE (V2)			
	Dev		Test	
	F1( $\sigma$ )	F1 <sub>c</sub> ( $\sigma$ )	F1( $\sigma$ )	F1 <sub>c</sub> ( $\sigma$ )
BERT (Devlin et al., 2018)	59.4 (0.7)	54.7 (0.8)	57.9 (1.0)	53.1 (0.7)
BERT <sub>S</sub> (Yu et al., 2020)	62.2 (1.3)	57.0 (1.0)	59.5 (2.1)	54.2 (1.4)
GDPNet (Xue et al., 2020b)	67.1 (1.0)	61.5 (0.8)	64.3 (1.1)	60.1 (0.9)
SimpleRE (Xue et al., 2020a)	68.2 (1.1)	63.4 (0.6)	66.7 (0.7)	63.3 (0.9)
SocAoG <sub>reduced</sub> (our method)	69.1 (0.4)	65.7 (0.5)	68.6 (0.9)	65.4 (1.1)
SocAoG (our method)	<b>69.5 (0.8)</b>	<b>66.1 (0.7)</b>	<b>69.1 (0.5)</b>	<b>66.5 (0.8)</b>

# SocAoG Results on DialogRE



**Figure:** Improvement per relation type of SocAoG compared to BERT-based SimpleRE (Xue, Sun, Zhang, Ni, et al. 2020) where several [CLS] tokens from BERT are used to capture relations between multiple entity pairs.



## D-REX: Dialogue Relation Extraction with Explanations

Alon Albalak<sup>1</sup>, Varun Embar<sup>2</sup>, Yi-lin Tuan<sup>1</sup>, Lise Getoor<sup>2</sup>,  
William Yang Wang<sup>1</sup>

<sup>1</sup> University of California, Santa Barbara

<sup>2</sup> University of California, Santa Cruz



# D-REX

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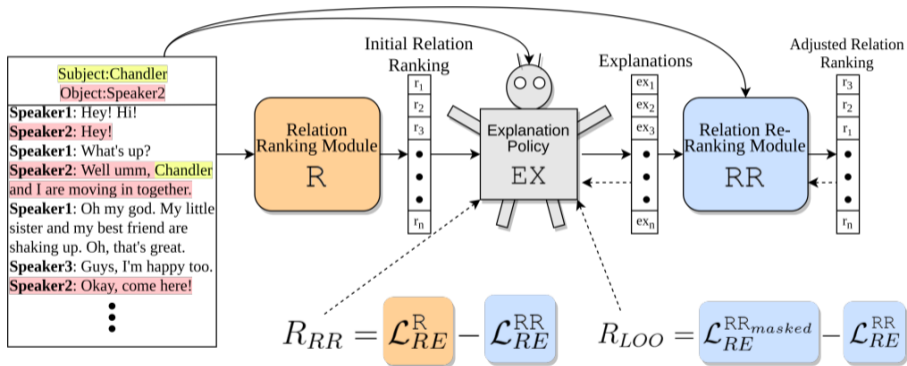
- Combine dialogue relation extraction with *explanation extraction* (EE)
- **Triggers** as partial supervision signal for EE
- Multiple **reward functions** to explore the explanation space with policy gradient  
→ learn meaningful explanations on data with less than 40% supervised triggers
- DRE as a ranking task with EE as intermediate step

# Explanation Extraction

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- **Given:**
  - Dialogue  $d$  consisting of  $n$  tokens  $T_1, \dots, T_n$
  - Relational triple  $\langle s, r, o \rangle$
- **Goal:** predict span with start and end positions  $i, j$  in the dialogue, such that explanation  $ex = [T_i, \dots, T_j]$  indicates that  $r$  holds between  $s$  and  $o$ .

# D-REX Architecture



# D-REX Ranking Modules

- $R$  denotes the **ranking module**, which is a BERT (Devlin et al. 2019) or RoBERTa (Yinhan Liu et al. 2019) language model fine-tuned on relation extraction as a classification task
  - Input is the Dialogue  $d$ , the subject  $s$  and the object  $o$ , output is the relation  $R(s,o,d)$
  - Is trained separately before being put into D-REX and not updated anymore
- $RR$  denotes the **re-ranking module** with the same model architecture as  $R$ 
  - Gets the explanation as additional input  $\rightarrow RR(ex, s, o, d)$
  - updated with cross-entropy loss, condition its ranking on explanations from the explanation module  $EX$

## D-REX Explanation Module

- Input is  $(s,o,d)$  plus  $R(s,o,d)$
- Output is an extracted phrase from the dialogue  $d$ , denoted as  $EX(r,s,o,d)$
- First train on supervised triggers with cross-entropy loss, then with **policy gradient** to include unlabelled examples
- Predict explanations for the top-k ranked relations by  $R$ .

### DialogRE V2

Dial- ogues	Rela- tions	Relational Triples (train/dev/ test)	Triggers (train/dev/ test)
1788	36	6290/1992/1921	2446/830/780

Number of triggers vs. number of relational triples. Only supervised training on annotated triggers



# D-REX Explanation Policy

- Action space of  $EX$  is the **set of spans** in the dialogue  
→ predict start and end token of explanation and receive feedback from environment with two reward functions  $\mathcal{R}_{RR}$  and  $\mathcal{R}_{LOO}$
- The loss is then calculated as:  
$$\mathcal{L}_{EX_{PG}} = -(\log(P_{T_i}^S) + \log(P_{T_j}^E)) \cdot (\mathcal{R}_{RR} + \mathcal{R}_{LOO})$$
where  $P_{T_i}^S$  and  $P_{T_j}^E$  denote the probability that a token is a start or end token of an explanation respectively

## D-REX Explanation Rewards

- **Re-ranking reward:** ensure that  $EX$  predicts explanations that benefit  $RR$  by subtracting the RE loss from  $RR$  from the  $R$  loss:

$$\mathcal{R}_{RR} = \mathcal{L}_{RE}^R(s, o, d) - \mathcal{L}_{RE}^{RR}(ex, s, o, d)$$

Because  $R$  is stationary,  $EX$  minimises  $\mathcal{L}_{RE}^{RR}$  by improving explanations  $EX$ .

## D-REX Explanation Rewards

- **Re-ranking reward:** ensure that *EX* predicts explanations that benefit *RR* by subtracting the RE loss from *RR* from the *R* loss:

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Because *R* is stationary, *EX* minimises  $\mathcal{L}_{RE}^{RR}$  by improving explanations *EX*.

- **Leave-one-out Reward:** direct *EX* in finding phrases which are essential to correctly classifying the relation between an argument-pair:

$$\mathcal{R}_{LOO} = \mathcal{L}_{RE}(s, o, d_{mask}(ex)) - \mathcal{L}_{RE}(s, o, d)$$

where  $d_{mask}(ex)$  is the dialogue *d* with the predicted explanation *ex* masked.

→ The model needs to maximise the masked loss, such that the explanation contains everything important for relation extraction

# D-REX Prediction Example

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**Speaker 1:** Could you please get the key off the back of the door for me.

**Speaker 2:** Oh yeah! Yeah!

**Speaker 1:** You tell your friend Chandler that we're definitely broken up this time.

**Speaker 2:** Okay!

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Subject	Object	Initial Predicted Relation	D-REX Predicted Explanation	D-REX Predicted Relation
Speaker 2	Chandler	girl/boyfriend	<u>your friend</u>	friends

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# D-REX Results on DialogRE

<b>Model</b>	<b>F1(<math>\sigma</math>)</b>
$R_{\text{BERT}}$	59.2(1.9)
$Joint_{\text{BERT}}$	59.4(1.7)
D-REX <sub>BERT</sub>	<b>59.9(0.5)</b>
$R_{\text{RoBERTa}}$	64.2(1.6)
$Joint_{\text{RoBERTa}}$	65.2(0.3)
D-REX <sub>RoBERTa</sub>	<b>67.2(0.3)</b>
*GDPNet	60.2(1.0)
*TUCORE-GCN <sub>BERT</sub>	65.5(0.4)
†SocAoG	<b>69.1(0.5)</b>

$F1_c$  of D-REX compared to other models

# D-REX EE Evaluation

<b>D-REX<sub>RoBERTa</sub> vs.</b>	<b>Win(%)</b>	<b>Tie(%)</b>	<b>Lose(%)</b>
Random ( <i>NL</i> )	79.9	10.4	9.8
<i>Joint</i> <sub>RoBERTa</sub> ( <i>NL</i> )	38.5	52.3	9.2
Ground truth ( <i>DL</i> )	12.1	44.3	43.7

D-REX human preference on examples with no labelled trigger (NL) and where explanations differ from the label (DL).

hhu.

# TREND: Trigger-Enhanced Relation Extraction Network for Dialogues

Po-Wei Lin, Shang-Yu Su, Yun-Nung Chen

National Taiwan University, Taipei, Taiwan



# TREND

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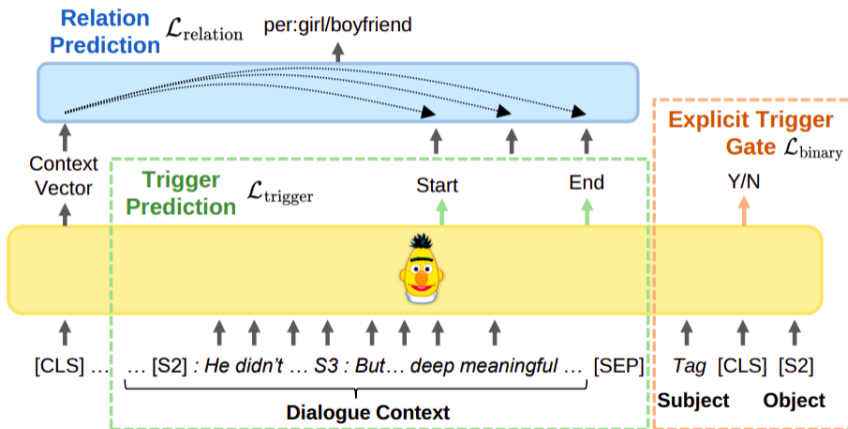
- **Core idea:** identify trigger spans to be used for relation extraction improvement
- Multitasking model with attentional relation extractor
- **General capability** of finding triggers  
⇒ Transferability to unseen relations



Two modules:

- 1 Multi-tasking BERT for context encoding and **explicit trigger identification**
- 2 **Relation predictor** with a feature combination of the dialogue and the automatically identified trigger

# The TREND Model



# TREND Modules

---

- **Explicit trigger gate:** A binary classifier as a gate to identify if the explicit **triggers exist**
- **Trigger prediction:** Fine-tune a language model on predicting the start and end token of **explicit triggers** in the dialogue
- **Relation prediction:** Feed the context vector as query and trigger words as keys and values into an attention mechanism with a classification head on top for **relation classification**

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- **Relation prediction:** Feed the context vector as query and trigger words as keys and values into an attention mechanism with a classification head on top for **relation classification**
  - ⇒ Train these models jointly on DialogRE Data-set
  - ⇒ transfer the **trigger-finding capability** to DDRel data-set where the model trained on DialogRE is fine-tuned on relation extraction without triggers

# TREND Results on DialogRE

<b>Model</b>	<b>F1</b>
BERT	60.6
GDPNet	64.3
SimpleRE (single entity pair)	60.4
D-REX <sub>BERT</sub>	59.2
TUCORE-GCN <sub>BERT</sub>	65.5
<b>TREND<sub>BERT-Base</sub></b>	<b>66.8</b>
<b>TREND<sub>BERT-Large</sub></b>	<b>67.8</b>
SimpleRE (multiple entity pairs)	66.7
SocAoG (multiple entity pairs)	69.1
TREND <sub>BERT-Base</sub> (ground-truth triggers)	75.3

Conversational  $F1_c$  of TREND and other models.

The trigger prediction has no more than 50% exact match which is why TREND with ground-truth triggers performs better

# TREND Results on DDRel

Model	4-class		6-class		13-class	
	Acc	Macro-F	Acc	Macro-F	Acc	Macro-F
BERT	47.1 / 58.1	44.5 / 52.0	41.9 / 42.3	39.4 / 38.0	39.4 / 39.7	20.4 / 24.1
TUCORE-GCN <sub>BERT</sub>	43.8 / 60.3	41.9 / 56.6	36.9 / <b>52.6</b>	38.7 / 54.2	29.5 / 44.9	20.5 / <b>36.9</b>
TREND <sub>BERT-Base</sub> w/o binary gate	51.5 / <b>65.4</b>	<b>46.5 / 61.2</b>	40.3 / <b>52.6</b>	<b>43.0 / 55.0</b>	<b>40.5 / 46.2</b>	21.2 / 34.7
TREND <sub>BERT-Large</sub> w/o binary gate	52.5 / 53.8	45.3 / 49.7	37.0 / 43.6	41.8 / 45.9	36.6 / 43.6	<b>26.4 / 36.3</b>
TREND <sub>BERT-Large</sub> w/o binary gate	<b>51.6 / 60.3</b>	<b>46.5 / 54.0</b>	<b>42.5 / 46.2</b>	<b>43.0 / 48.2</b>	34.4 / 43.6	19.9 / 36.3
TREND <sub>BERT-Large</sub> w/o binary gate	41.5 / 47.4	40.3 / 44.9	39.0 / 42.3	43.1 / 42.9	38.5 / 34.6	17.3 / 21.1

DDRel performance in session-level/pair-level settings and different granularity settings

# TREND Unseen DDRel Relations

DDRel Relation	DialogRE Relation
Workplace Superior-Subordinate	per:boss
Workplace Superior-Subordinate	per:subordinate
Friends	per:friends
Lovers	per:girl/boyfriend
Neighbors	per:neighbor
Roommates	per:roommate
Child-Parent	per:children
Child-Other Family Elder	per:other family
Siblings	per:siblings
Spouse	per:spouse
Colleague/Partners	per:works
Courtship	-
Opponents	-
Professional Contact	-

Overlap between DDRel and DialogRE relations

DDRel Relation	Seen	Unseen
BERT	23.77	9.94
TUCORE-GCN	23.39	10.81
TREND	28.30	13.13

S1: <b>Fuck</b> me!		
S2: Want a drink? Okay... I'm not good at this sort of thing, but we don't have a lot of time, so I'll just go ahead and get started.		
Argument (S1, S2)	Relation (Unseen)	Trigger
	Opponent	fuck

Performance on DDRel relations seen and not seen on DialogRE and predicted trigger and relation on unseen DDRel relation



# Conclusion

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- It is possible to model dialogue relations with a **graph**

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- Capturing relations between people is important for **personalising** dialogue systems and adjusting language to the user
- **Graph-based** and **language model** based approaches perform reasonably well on DRE
- It is possible to model dialogue relations with a **graph**
- Adding an intermediate **explanation** step improves performance and explainability
- Additional **annotation** like **triggers** can improve the performance on relation extraction with better generalisability

## Further Reading

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- Dialogue Relation Extraction with Document-Level Heterogeneous Graph Attention Networks (DHGAT) (Chen et al. 2020)
- An Embarrassingly Simple Model for Dialogue Relation Extraction (SimpleRE) (Xue, Sun, Zhang, Ni, et al. 2020)
- GDPNet: Refining Latent Multi-View Graph for Relation Extraction (Xue, Sun, Zhang, and Chng 2021)
- Graph Based Network with Contextualized Representations of Turns in Dialogue (TUCORE-GCN) (Lee and Choi 2021)




# Thank you for your Attention!

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Any questions?



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